

BAKER BOTTS LLP

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/611,419
Filing Date	July 6, 2000
First Named Inventor	Smith et al.
Group Art Unit	1653
Examiner Name	TBA
Attorney Docket Number	A33626 067252.0105

Total Number of Pages in This Submission 69

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☒ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Sequence Listing in paper and computer-readable form (diskette)

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

BakerBotts LLP  
30 Rockefeller Plaza  
New York, NY 10112

Signature

*Rochelle K. Seide*

Att Name: Rochelle K. Seide  
PTO Reg: 32,300

Date

July 20, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: July 20, 2001

Typed or printed name

Rochelle K. Seide

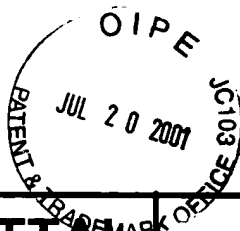
Signature

*Rochelle K. Seide*

Date

July 20, 2001

BAKER BOTTS LLP



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# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 560

Complete if Known

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## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-4377**  
 Deposit Account Name **Baker Botts LLP**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description	Fee Paid
710	355	Utility filing fee	
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	

SUBTOTAL (1) (\$ 0

## 2. EXTRA CLAIM FEES

Total Claims **48** - 69\*\* = **0** x **18** = **0**  
 Independent Claims **15** - 8\*\* = **7** x **80** = **560**  
 Multiple Dependent **0** = **0**

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description
18	9	Claims in excess of 20
80	40	Independent claims in excess of 3
270	135	Multiple dependent claim, if not paid
80	40	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 560

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

## SUBMITTED BY

Name (Print/Type) **Rochelle K. Seide**Registration No. (Attorney/Agent) **32,300**

Complete (if applicable)

Telephone **(212) 408-2626**Signature **Rochelle K. Seide**Date **July 20, 2001**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**BAKER BOTTS** LLP

Attorney Docket Number: A33626 067252-0105

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Title:

RECOMBINANT VACCINE AGAINST BOTULINUM NEUROTOXIN



Use Space Below for Additional Information:



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FILE NO. A332626/06/25/2001/0600/2900  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Smith et al.  
Serial No. : 09/611,419 Examiner : TBA  
Filed : July 06, 2000 Group Art Unit: TBA  
For : RECOMBINANT VACCINE AGAINST BOTULINUM  
NEUROTOXIN

PRELIMINARY AMENDMENT AND  
SUBMISSION OF SEQUENCE LISTING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

July 20, 2001  
Date of Deposit

Rochelle K. Seide

Attorney Name

Rochelle K. Seide  
Signature

32,300

PTO Registration No

July 20, 2001

Date of Signature

07/25/2001 RHARIS1 00000017 09611419

01 FC:102

560.00 OP

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants respectfully request consideration of the above-identified application in light of the following amendments and remarks. Applicants submit herewith a Sequence Listing in paper and computer form.